

A-D

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁷ : G01N 21/64, 27/26	A1	(11) International Publication Number: WO 00/06996 (43) International Publication Date: 10 February 2000 (10.02.00)
---	-----------	---

(21) International Application Number: PCT/SG99/00081

(22) International Filing Date: 16 July 1999 (16.07.99)

(30) Priority Data:
9802727-9 28 July 1998 (28.07.98) SG

(71) Applicant (for all designated States except US): CE RESOURCES PTE LTD. [SG/SG]; Nus Innovation Centre, National University of Singapore, 10 Kent Ridge Crescent, Singapore 119260 (SG).

(72) Inventor; and

(75) Inventor/Applicant (for US only): LI, Sam, Fong, Yau [SG/SG]; 5 Pine Grove, #07-03, Singapore 597591 (SG).

(74) Agent: LAWRENCE Y. D. HO & ASSOCIATES; #07-01 Thongsia Building, 30 Bideford Road, Singapore 229922 (SG).

(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Published

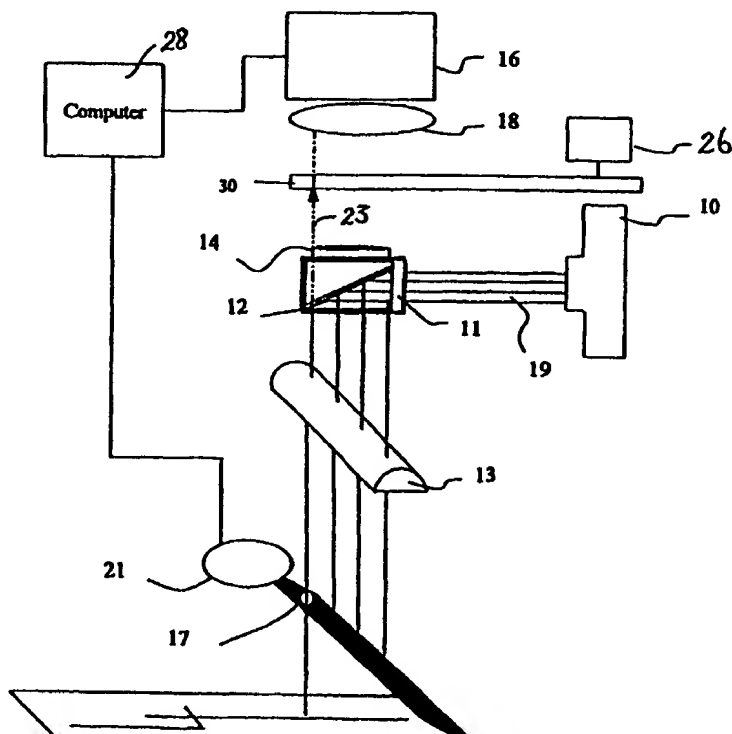
With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: OPTICAL DETECTION SYSTEM

(57) Abstract

An optical detection system comprising an electromagnetic radiation source, a source radiation focusing and collimating means, a photodetector, an emitted radiation focusing means and a source radiation blocking panel. The radiation source is used to direct source radiation onto a sample which is disposed in a sample platform. The source radiation focusing and collimating means is disposed between the radiation source and the sample for focusing and collimating the source radiation onto the sample. The photodetector is adapted for receiving radiation emitted from the sample which has been focused by the emitted radiation focusing means. The source radiation blocking panel, disposed between the source radiation focusing and collimating means and the sample, is unique in that it is capable of reducing light scattering and interference, such that a clear signal from each individual sample can be obtained by the photodetector.



FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

OPTICAL DETECTION SYSTEM

FIELD OF THE INVENTION

The present invention is related to optical detection systems. In particular, the present invention is related to optical detection systems which can
5 analyze multiple samples simultaneously.

BACKGROUND OF THE INVENTION

On many occasions, in chemistry and biology, large numbers of samples need to be analyzed. Particularly in molecular biology, in the Human Genome Project, high speed analyses with high throughput are necessary to achieve
10 the goals of the project. Genetic mapping and DNA sequencing on slab gels are currently performed by using automated DNA sequencing with mono-colour or multi-color fluorescent dye labeling. Because capillary electrophoresis (CE) and particularly CE combined with laser induced fluorescence (CE-LIF) offers rapid charged species analyte separation and
15 high detection sensitivity, it is particularly attractive as a separation technique in DNA sequencing applications. However, the number of capillaries that can be analyzed at one time limits the total throughput of the analysis. To increase the throughput a technique called capillary array electrophoresis (CAE) has been introduced. In this technique multiple capillaries are used in parallel with
20 some advantages over slab gels with multiple lanes. There is a substantial reduction on Joule heating effect. Therefore, higher electric fields can be applied and faster analysis can be obtained. The cost of material is reduced in terms of gel usage due to the reduced diameter of the capillaries, as well as samples usage due to a smaller sample size. Another advantage is the

possibility to increase the sample throughput by increasing the number of channels in theory up to thousands, while the slab gels impose physical size and sample loading difficulties.

Various methods for acquiring signals from multiple channels have been described; however, the simultaneous detection of the different channels in CAE still presents some problems. A multiple capillary electrophoresis laser induced fluorescence detector that utilizes a confocal fluorescence scanner is described in US Pat. Nos. 5,091,652 and 5,274,240. The scanner or computer controlled stage translates the capillary array past the light path of a laser beam and the optical detection system. Since relatively heavy components are being moved problems with misalignments of the capillaries relative to the light source are likely to occur. To avoid problems derived from the movement of bulky components in US Patent No. 5675155, a detection system is described where an excitation laser beam is focused and scanned across the capillary array by the movement of a mirror which is aligned as well to receive the electromagnetic radiation from the sample. The advantages of these approaches are the use of a small local illumination and detection volumes requiring only modest excitation power for optimal signal to noise ratio. Crosstalk between adjacent capillaries is eliminated since only a single capillary is illuminated at a time. On the other hand, because the data acquisition is sequential, i.e., the scan modes are from the first capillary to the last capillary in the array, the use for a very large number of capillaries is

Another multiplexed detector system for capillary electrophoresis is described in US Pat. No. 5,498,324. The invention involves laser irradiation of the sample in a plurality of capillaries through individual optic fibers inserted into the outflow of each capillary. Quesada and Zhang (Electrophoresis 17, 1841-
5 1851, 1996) improved this design by using fiber optics for illumination and collection of the fluorescent emission orthogonally. One of the advantages of the this approach is that no moving parts are involved. However, in both systems the excitation energy that reaches each capillary does not have a homogeneous distribution and degrades as the number of fibers included in
10 the fused taper splitter increases. In addition, detection of the arrays is simultaneous through a CCD combined with microscope or camera lens. Therefore, in this case the limitation of the number of capillaries that can be detected at one time depends on the number of them that can be packed in the imaging field of the detector and the resolution of the detector. The most
15 critical problem in this approach may be cross talk between capillaries because fluorescence from adjacent capillaries can be refracted to reach the detector. Although cross talk between capillaries can be avoided by the use of spacers, it is evident that the use of spacers will reduce the number of capillaries in the array.

20 A greater number of capillaries can be measured at the same time (US Pat. No. 5730850) by arranging capillaries two-dimensionally in a capillary array sheet and using a simultaneous two-dimensional detector. Employing modified sheath-flow cuvette detection, sensitivity is enhanced by eliminating light interferences. However, the simultaneous illumination of all the
25 capillaries requires a complicated system of mirrors to transmit the light beam

through the buffer solution path between the capillary holder and the detection window, which in turn may result in differences in intensity.

Accordingly, it is desirable to provide an economical and high sensitive detection system for multiple sample analysis which is easy to set up and
5 easy to handle where bulky moving parts and complicated alignments are minimized.

OBJECT OF THE INVENTION

It is therefore an object of the present invention to provide a system to
10 overcome the shortcomings as stated above.

It is another object to provide an optical detection system which allows only collimated light to reach the sample to be detected.

It is a further object to provide an embodiment of an optical detection system which can perform simultaneous detection in a plurality of samples with
15 reduced scattering and cross-talk.

SUMMARY OF THE INVENTION

The present invention is an optical detection system comprising an electromagnetic radiation source, a source radiation focusing and collimating means, a photodetector, an emitted radiation focusing means and a source radiation blocking panel. The radiation source is used to direct source radiation onto a sample which is disposed in a sample platform. The source radiation focusing and collimating means is disposed between the radiation source and the sample for focusing and collimating the source radiation onto the sample. The photodetector is adapted for receiving radiation emitted from the sample which has been focused by the emitted radiation focusing means. The source radiation blocking panel, disposed between the source radiation focusing and collimating means and the sample, is unique in that it is capable of reducing light scattering and interference, such that a clear signal from each individual sample can be obtained by the photodetector.

In the most preferred embodiment, the source radiation focusing and collimating means comprises at least one convergent cylindrical rectangular lens and the source radiation blocking panel comprises a light absorbing panel with at least one pinhole. The samples are contained in channels or tubes aligned in parallel. For simplicity, the samples contained in the various channels or tubes are referred to as sample volumes. In one embodiment, the emitted radiation focusing means is a convex lens, while in another embodiment, it is a convergent cylindrical lens together with an emitted radiation blocking panel having pinholes. This panel with pinholes will be referred to simply as pinholes in the following description. The pinholes may be connected to scanning or conveying means to allow movement. The

system may be used for the detection of radiation absorbance or for fluorescence, including epi-fluorescence. Static pinholes for reducing interference and moving pinholes for sequentially and repetitively illuminating selected sample volumes from an array of samples. In the cases of no cross

5 talk between samples or when cross talk can be eliminated, static pinholes are used to reduce interference due to scattered light, while moving pinholes can be used to eliminate cross talk between samples by sequentially and selectively illuminating only the sample volumes to be measured at any instant of time. In this embodiment, the system includes a plurality of sample

10 volumes in parallel comprising: an array of channels, capillaries, flow cells, bands or wells; at least one electromagnetic radiation source; at least one convergent rectangular cylindrical lens to focus electromagnetic radiation; at least one set of static pinholes or moving pinholes; a scanner for moving the pinholes; and at least one detector aligned to receive electromagnetic

15 radiation collected from the sample volumes. The pinholes are placed in between the array of samples and the detector and /or between the array of samples and the electromagnetic radiation source. For operation of the system using static pinholes, the number of pinholes should match that of the samples in the array. The electromagnetic radiation energy that reaches each

20 sample volume is homogeneously focused and distributed by the convergent rectangular cylindrical lens through the array of pinholes. Emitted electromagnetic radiation from all of the sample volumes is collected and

samples in the array and can be as few as one. Only the electromagnetic radiation energy that can pass through the pinholes can reach selected sample volumes. The scanner for moving the pinholes adjusts the position of the pinholes so that only selected sample volumes are illuminated by the electromagnetic radiation. Emitted electromagnetic radiation from the selected sample volumes is collected and directed to a detector where a signal is generated in response to the interaction of the electromagnetic radiation with the sample. This operation is performed sequentially and repetitively with each sample volume in the array. Moving pinholes are also used to prevent scattered electromagnetic radiation from reaching the detector. Advantageously, the present invention provides two detection systems for multiple sample analysis, which are easy to set up and easy to handle where bulky moving parts and complicated alignments are minimized, and allows the electromagnetic radiation source to remain on selected sample volumes for a pre-set period of time. The result is higher sample throughput, improved detection sensitivity and more economical and physically stable detection systems.

In preferred embodiments, the sample is imaged from an array of channels microfabricated in glass, quartz, fused silica or polymeric materials for capillary electrophoresis. In one embodiment, the source radiation is an excitation light, and the the sample in each channel is fluorescent or contains a fluorescent label and is separated on an electrophoretic medium, or the sample is not fluorescent and is separated in a fluorescent electrophoretic medium. The electromagnetic radiation source preferred is a laser but other light sources mercury lamps, xenon lamps or any other light sources with the

appropriated power and wavelength can be used. The source radiation wavelength specific to the sample to be investigated is isolated by interference filter and transmitted axially to the sample. The source radiation is focused linearly by a convergent rectangular cylindrical lens. The focal
5 distance between the lens and the channels is adjusted manually by movement of a translational stage in the x, y and z directions or by an auto-focusing system. In the same direction the fluorescent emission is collected and collimated by the lens through the array of pinholes or by moving pinholes. A long pass filter is selected to block wavelengths below the
10 emission. An array of pinholes or moving pinholes can be used to prevent non-collimated light from reaching the detector.

The present invention provides detection systems with which a plurality of sample volumes can be analyzed. In consequence, this system allows for a significant increase in throughput of batches of samples. Different types of
15 optical detection systems can be used, such as visible, ultraviolet or fluorescent. In the preferred embodiments, we will refer to CE-LIF (laser induced fluorescence), because of its higher sensitivity, performed in microfabricated channels. For those skilled in the art, it is well known that the system is equally applicable for capillary electrophoresis in fused silica
20 capillaries, and since this system is provided with a focusing facility, any coplanar, linear and closely distributed samples can be easily incorporated into the field of view and optically analyzed by the detector. In addition, this multichannel detection system can be used in the analysis of chemicals, such as ions and drugs, or bio-molecules, such as DNA, RNA, proteins,
25 viruses, bacteria and the like by HPLC or other analytical techniques

involving the use of capillaries, microchannels, flow cells, bands or wells. In general it can be useful for optical testing of series of homologous samples volumes distributed closely in reservoirs and in the same plane.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1A is a schematic representation of an embodiment of the multichannel detection system in microchip-based capillary electrophoresis utilizing a single moving pinhole for multiple epi-fluorescence detection.

5 **Fig. 1B** is a top view of filter wheel shown in Figure 1A.

Fig. 2 is the schematic layout of an array of 300 channels scanned simultaneously by 6 pinholes.

Fig. 3A is the schematic representation of an alternative embodiment of the multichannel detection system utilizing parallel multiple pinholes for
10 multichannel multicolour fluorescence detection imaged on an array detector, e.g. a CCD camera.

Fig. 3B is top view of the filter wheel shown in Figure 3A.

Fig. 4. is the schematic representation of an alternative embodiment of the multichannel detection system utilizing an array of static pinholes for multiple
15 epi-fluorescence detection.

Fig. 5. is the schematic representation of an alternative embodiment of the epi-fluorescence multichannel detection system utilizing an array of static pinholes for multicolour fluorescence detection.

Fig. 6. is a schematic representation of a preferred embodiment of the
20 multichannel detection system in microchip-based capillary electrophoresis utilizing an array of static pinholes for simultaneous absorbance detection.

DESCRIPTION OF THE INVENTION

FIG. 1 is a general schematic illustration of the multichannel epifluorescent detection system using a moving pinhole. The system includes a radiation source 10, an interference filter 11, a dichroic beamsplitter 12, a convergent cylindrical rectangular lens 13, a long pass filter 14 and a photon detector 16. The source irradiates excitation light 19 to the dichroic beamsplitter 12 which is positioned at an angle (which is 45° in this example) to the beam. This beamsplitter reflects radiation of wavelengths below the specified wavelength, acting as a long pass filter. The reflected radiation is then directed axially to the sample channels 20. An interference filter 11 is preferably included in this embodiment to isolate the wavelength necessary for excitation of the fluorescent sample and at the same time eliminate the background scatter caused by the radiation of undesired wavelengths. The interference filter 11 is particularly essential to isolate the necessary excitation wavelength when the light source employed is not monochromatic, such as Hg, Xe, or tungsten lamps. The axis of the convergent cylindrical rectangular lens 13 is placed perpendicular to the microchannels 20 or, perpendicular to the array of samples to be determined. A single pinhole 17 with an aperture matching the size of the area to be detected allows the excitation beam to reach a selected sample. The resulting fluorescent emission 23 is collected axially by the convergent cylindrical rectangular lens 13, and transmitted through the dichroic beamsplitter 12 and a long pass filter 14, and then focused onto the photodetector 16 by a convex lens 18. The band pass filter 14 is selected to block any background or scattered light

scanner or conveyer system 21 causes the pinhole 17 (not drawn to size) to move to the next microchannel. In this manner, by scanning the pinhole 17, the excitation radiation and the fluorescent emission is sequentially brought to and collected from every microchannel or sample volume in the array. The permanence time of the pinhole in every sample is pre-set and electronically controlled to allow for the excitation and emission of every individual sample before moving to the next. By incorporating a moving pinhole 17, the detection system of the present invention avoids the interference caused by cross talk between channels since one sample is illuminated at the time. By using a pinhole 17, interferences due to scattered light from the optics and the mass of the glass plate 22 comprising the channels are further avoided. The system can be modified for multicolour fluorescence detection by adding a rotating filter wheel 30 (shown in Figure 1B) before the detector. The filter wheel comprises a predetermined number (usually 4) of band filters which are designed to block the radiation at the wavelengths of the excitation radiation sources and transmit fluorescence at wavelengths typically longer than those for the excitation wavelengths. The filter wheel 30, controlled by means of a rotor 26, rotates and brings sequentially the set of filtered into the path of the emission beam, thus permitting the detection of the fluorescent emission of different dyes present in the sample.

Larger number of samples can be monitored by scanning a set of pinholes placed in series provided that the distance between them is large enough to avoid cross-talk between different channels. This situation is depicted in FIG. 2 where 300 microchannels 20 (as numbered as C1-C300) can be scanned by a set of 6 pinholes 27 simultaneously. This approach is advantageous

over individual channel scanning since the scanning time per cycle can be decreased. Therefore, the number of channels in the array can be increased. The optical signals collected by the photodetector may be further amplified by an amplifier (not shown in Fig.1) and analyzed by a computer 28.

Although the preferred embodiment is to irradiate the sample and collect fluorescent emission in the same direction, another possibility is to irradiate the sample and collect fluorescent emission at a different angle. This angle can be varied as long as the excitation radiation 19 does not interfere with the emission radiation 23.

FIG. 3A is a schematic diagram of a multi-wavelength fluorescence detection system for multichannel electrophoresis where a detector 30, which may consist of several individual photodetectors, a multi-segmented photodetector or a charged coupled device (CCD) camera is used for detection of two-color fluorescence emission using an array of moving pinholes. The radiation of a laser light source 32 is first split into two color lines and directed at 45° relative to the microchannel plate by two convergent cylindrical rectangular lenses 34. The laser beams are focused on to two different parallel positions. A set of pinhole pairs 36 aligned longitudinally in a parallel array is scanned through the focused beam lines to allow excitation radiation to reach the samples which contain two different fluorescent dyes, the fluorescent emission is allowed to pass through the pinholes 36. If there are more channels than pinholes,, the pinholes are moved to a next channel. The fluorescent emission of every dye is captured by the detector 30 through a convex lens 38 at the two different positions simultaneously. To avoid interferences due to fluorescence cross-talk between the two positions of

detection, the fluorescent emission 40 of each position is filtered through two band pass filters arranged in parallel in a filter wheel 42 as shown in FIG 3B. The advantage of using two (or more) laser lines isolated spatially is that a higher duty cycle can be realized compared to the use of filter wheels. With the improved sensitivity and throughput by using an array of moving pinholes, this system can be very useful in analyzing large number of samples.

In cases where there is no possibility of cross talk, or when cross talk can be effectively avoided, for example when channels are formed on opaque materials, the use of an array of static pinholes is advantageous since no moving parts are involved. FIG. 4 is the general schematic illustration of simultaneous excitation and detection of an array of samples by using an array of static pinholes 44. The system includes a radiation source 46, an interference filter 48, a dichroic beamsplitter 50, a convergent cylindrical rectangular lens 52, an array of pinholes 44, a long pass filter 56, a second convergent cylindrical rectangular lens 58 and a photon detector 60. The emitted fluorescent radiation 61 is collected in the same direction by the first convergent cylindrical rectangular lens 52 and transmitted through the dichroic beamsplitter 50 and a band pass filter 56. A second convergent cylindrical rectangular lens 58 is placed in such direction that it collects, collimates and focuses linearly on to a photo-detector 60 the emitted fluorescent radiation 61 of every sample simultaneously. The array of pinholes 44 with an aperture of the size corresponding to the detection area is placed in front of the channels to avoid interference caused by scattered light from the optics and the mass of the glass plate 62 comprising the channels 64. A second array of pinholes 66 is placed before the photon-

detector in order to block any scattered non-parallel light from reaching the detector. This signal may then be amplified by an amplifier 67, and analyzed or stored by a computer 69. Since fluorescence is emitted by the sample molecules in all directions, fluorescent refraction from neighboring channels can cause interferences in the detection. To avoid this cross-talk between channels, it is advisable to intercalate a set of blocking channels between pairs of separation channels. The blocking channels may be formed by filling channels with black ink to absorb unwanted fluorescent radiation or reflective materials to reflect radiation.

Although the preferred embodiment is to irradiate the sample and collect fluorescent emission in the same direction, another possibility is to irradiate the sample and collect fluorescent emission at a different angle. This angle can be varied as long as the excitation radiation 68 does not interfere with emission radiation 61.

FIG 5 illustrates a schematic diagram of the multi-detector system with static pinholes suitable for the detection of two different emission wavelengths. This system makes use of a single laser source 70 to generate excitation light 72 of two different wavelengths for two different fluorescent labels. The two different fluorescent labels may be found within each sample inside each sample channel 73 in the sample platform 75. These fluorescent labels should have readily distinguishable fluorescent emissions. During operation, the emission radiation of the fluorescent labels passes through an array of pinholes 77 and is collected by a convergent cylindrical rectangular lens 74, refracted through a dichroic beam splitter 76 and split into two different wavelengths by the use of a second dichroic beam splitter 78. At this point, the two different wavelengths are detected by two different detectors 79 and 80.

spectral filtering is performed by using a band pass filter 80 for the lower wavelength and a long pass filter 82 for the higher wavelength. The fluorescent signals are then focused through an array of pinholes 84 by convergent cylindrical rectangular lenses 86 on to two photodetectors 88. Again, the signals may be amplified by one or more amplifiers 85 and 87, and the signal analyzed and stored by a computer 89. Those skilled in the art will recognize that a higher number of fluorescent wavelengths can be detected by subsequent division and filtering of the fluorescent emission provided that the appropriated number of labels and excitation radiation wavelengths are used.

Another viable application for an array static pinholes is the detection in parallel of the absorbance of an array of samples volumes. As represented in FIG.6, the radiation source 90 and the photodetector 92 are place in the same plane. The source radiation is electromagnetic radiation, and the emitted radiation is the transmitted radiation which is not absorbed by the sample. Electromagnetic radiation 94 is focused linearly to the array of samples by a convergent cylindrical rectangular lens 96. After the electromagnetic radiation 94 has pass through the sample volumes, the transmitted radiation 98 is collimated by a second convergent cylindrical rectangular lens 100. A third convergent cylindrical rectangular lens 102 focuses on to the photodetector 92 the transmitted radiation. An array of pinholes is placed between the cylindrical lens 96 and the detection volume, allowing a parallel beam of light to pass through the sample. Another array of pinholes 94 is placed in front of the photodetector 92 to avoid scattered light

connected to the photodetector to amplify the signal. The decrease in intensity of the electromagnetic radiation can then be calculated by the electronic components by connecting a computer 108 to the amplifier. This embodiment of the invention is expected to be most useful for detection in high performance liquid chromatography (HPLC), capillary HPLC or microchannel HPLC.

For microchannel separations, the channels 20, 64, 73 and 104 are microchannels which are generated in glass, quartz or fused silica plates 22, 62, 75 and 110 by photolithographic and standard dry or wet-etching techniques. Polymeric materials can also be molded to adopt the desired patterns. Materials which are transparent, physically and chemically stable such as polymethylmethacrylate, polydimethoxysilane, nylon, polyethylene, polypropylene, fluoropolymers-based polymers and the like can be used as substrate for microfabrication. Microstructures for capillary electrophoresis comprise a channel network that permits dead-volume-free sample introduction and separation. In addition, other procedures such as sample pre-treatment, derivatization, fraction collection etc. can be integrated in the same microstructure. Arrays of microchannels are easily generated in the same structure. Each channel comprises a separation channel and injection channel. Typically, separation channels are straight or serpentine like of 1-100 cm length having a width of 1-100 μm and a height in the order of 1-50 μm , and injection channels intersecting the separation channels. Voltages are applied at the end of the microchannels where buffer and sample reservoirs are located. Flow direction and separation can be controlled by electrokinetic

effects due to the harmonic application of voltages in the reservoirs or hydrodynamic effects due to application of pressure or vacuum.

A sample plug introduced into the separation channel is electrophoretically separated along the length of the separation channel. Monitoring of the separated components can be performed at the desired point along the separation channels by focusing the excitation beam and collecting the subsequent fluorescent emission. In this embodiment, the sample platform is a channel plate or a capillary array electrophoresis chip.

A channel plates may be placed in a translational stage to facilitate focusing of the sample volumes on the field of view by the movement of the stage in y, x and z directions. Focusing on the point of detection may be accomplished visually through a rotational trinocular that allows visualization of the channels. This facility is preferred since different microfabricated plates layouts, shapes and sizes can be incorporated and brought into focus. This procedure can be automated if x and z positions are fixed, and focused on the y direction is performed by a manual focusing device or an autofocusing device.

This invention is not limited to the above described details and pictorially accompanying drawings since many changes and modifications may be made to the invention without departing from the spirit and the scope thereof.

CLAIMS

- 1 1. An optical detection system comprising :
- 2 a) at least one electromagnetic radiation source directing source
- 3 radiation at a sample platform containing at least one sample;
- 4 b) at least one source radiation focusing and collimating means,
- 5 positioned between the radiation source and the sample for
- 6 focusing the directed source radiation onto the sample;
- 7 c) at least one photodetector adapted for receiving radiation
- 8 emitted from the sample;
- 9 d) at least one emitted radiation focusing means, positioned
-
- 10 between the photodetector and the sample, for focusing the
- 11 emitted light onto the photodetector; and
- 12 e) at least one source radiation blocking panel, positioned
- 13 between the excitation light focusing means and the sample, for
- 14 blocking extraneous radiation, said panel having at least one
- 15 pinhole wherethrough source radiation can pass, said pinhole
- 16 provided in a position adjacent to the sample such that
- 17 collimated source radiation is directed onto the sample.
- 1 2. An optical detection system according to claim 1 wherein the sample
- 2 platform comprises at least one microfabricated channel, a
- 3 microfabricated array electrophoresis chip, at least one capillary
- 4 column, or at least one flow cell.
-

1 3. An optical detection system according to claim 1 wherein the sample
2 platform is further connected to a power supply for electrophoresis or
3 chromatography, such that optical detection can be performed
4 concomitantly with electrophoresis or chromatography.

1 4. An optical detection system according to claim 1 wherein the sample
2 platform is further connected to a pressure control system or a flow
3 control system for chromatography, such that optical detection can be
4 performed concomitantly with chromatography

1 5. An optical detection system according to claim 1 wherein
2 a dichroic beamsplitter, disposed between the source radiation
3 focusing and collimating means and the photodetector, is
4 provided for reflecting the source radiation onto the sample,
5 and refracting the emitted radiation onto the photodetector;
6 the photodetector, the emitted radiation focusing means, the
7 dichroic beamsplitter, the source radiation focusing and
8 collimating means and the sample are arranged in a manner
9 such that source radiation is focused onto said sample, and
10 focused emitted radiation is collected by said photodetector;
11 the source radiation, comprising an excitation radiation, is
12 directed at the dichroic beamsplitter; and

13 a long pass filter is disposed between the dichroic beamsplitter and the photodetector;

- 1 6. An optical detection system according to claim 5 wherein the
2 photodetector, the emitted radiation focusing means, the dichroic
3 beamsplitter, the source radiation focusing and collimating means and
4 the sample are disposed along one plane in this stated order.
- 1 7. An optical detection system according to claim 5 further comprising an
2 interference filter provided between the dichroic beamsplitter and the
3 radiation source for isolating a pre-set excitation wavelength.
- 1 8. An optical detection system according to claim 5 further comprising a
2 rotatable filter wheel controlled by a rotor, said filter wheel, positioned
3 between the photodetector and the long pass filter, for the
4 ~~transmission of emitted radiation of selected wavelengths from the~~
5 sample to the photodetector.
- 1 9. An optical detection system according to claim 5 wherein a plurality of
2 pinholes are disposed on the source radiation blocking panel at
3 predetermined distances, said predetermined distance being the
4 distance or a multiple of the distance between the samples arranged
5 in an array.
- 1 10. An optical detection system according to claim 1 wherein
2 a plurality of directing means are provided to reflect, transmit and
3 refract the source radiation at the sample from opposing first and
4 second directions;
5 at least one pair of first and second pinholes provided on the source
6 radiation blocking panel such that source radiation from the first
7 direction can pass through the first pinhole into the sample and

8 emitted light is emitted through the first pinhole, and source radiation
9 from the second direction can pass through the second pinhole into
10 the sample, and emitted light is emitted through the second pinhole;
11 and

12 the source radiation focusing and collimating means comprises a first
13 and second convergent cylindrical rectangular lens disposed across
14 the path of the source radiation from said first and second directions
15 respectively for focusing the source radiation onto the first and second
16 pinholes.

1 11. An optical detection system according to claim 10 wherein the plurality
2 of directing means comprises a set of mirrors which split the source
3 radiation into a first excitation wavelength in the first direction and a
4 second excitation wavelength in the second direction.

1 12. An optical detection system according to claim 10 wherein the plurality
2 of directing means comprises a set of mirrors disposed at angles such
3 that the first and second directions of radiation are both 45 degrees
4 above the plane of the sample platform.

1 13. An optical detection system according to claim 10 wherein
2 the sample platform comprises an array of channels aligned in
3 parallel;
4 the blocking panel comprises a plurality of pairs of pinholes aligned
5 longitudinally in a parallel array, each pair of pinholes positioned
6 directly above a channel of the sample platform; and

7 the first and second convergent cylindrical rectangular lens focusing
8 the source radiation from said first and second direction into a first
9 color line and a second color line, said first color line directed at the
10 row of first pinholes and said second color line directed at said row of
11 second pinholes.

1 14. An optical detection system according to claim 5 wherein the emitted
2 radiation focusing means comprises a convergent cylindrical
3 rectangular lens.

1 15. An optical detection system according to claim 14 further comprising
2 an emitted radiation blocking panel with pinholes provided between
3 the emitted radiation focusing means and the photodetector.

1 16. An optical detection system according to claim 14 wherein an
2 interference filter is provided between the dichroic beamsplitter and
3 the radiation source for isolating a pre-set excitation wavelength.

1 17. An optical detection system according to claim 5 further comprising
2 a second dichroic beamsplitter, disposed between the dichroic
3 beamsplitter and the long pass filter, for splitting the emitted
4 radiation into a first wavelength radiation and a second higher
5 wavelength radiation, such that said first wavelength radiation is
6 reflected, and said second higher wavelength radiation is refracted
7 to said long pass filter;
8 a second photodetector provided for receiving said first
9 wavelength radiation reflected by said second dichroic
10 beamsplitter; and

11 a second emitted radiation focusing means disposed between the
12 second photodetector and the second dichroic beamsplitter for
13 focusing said first wavelength radiation onto said second
14 photodetector.

1 18. An optical detection system according to claim 17 further comprising
2 an interference filter disposed between the dichroic beamsplitter and
3 the radiation source for isolating a pre-set excitation wavelength.

1 19. An optical detection system according to claim 17 further comprising a
2 second interference filter disposed between the second dichroic
3 beamsplitter and the second photodetector for isolating a pre-set
4 excitation wavelength.

1 20. An optical detection system according to claim 17 further comprising
2 an emitted radiation blocking panel with at least one pinhole disposed
3 between the emitted radiation focusing means and the photodetector,
4 said pinhole wherethrough collimated emitted radiation can pass.

1 21. An optical detection system according to claim 20 further comprising
2 an second emitted radiation blocking panel with at least one pinhole
3 disposed between the second emitted radiation focusing means and
4 the second photodetector, said pinhole wherethrough collimated
5 second higher wavelength radiation can pass.

1 22. An optical detection system according to claim 17 further comprising a
2 amplifier connected to said photodetector, and a second amplifier
3 connected to said second photodetector.

1 23. An optical detection system according to claim 22 further comprising a
2 computer or data processor connected to said amplifier, and a second
3 computer or second data processor connected to said second
4 amplifier.

1 24. An optical detection system according to claim 1 wherein said emitted
2 radiation focusing means comprises
3 a first and second convergent cylindrical rectangular lens; and
4 an emitted radiation blocking panel with at least one pinhole;
5 said first convergent cylindrical rectangular lens proximate said
6 sample platform for collecting radiation emitting from said sample
7 platform and focusing said emitted radiation onto said second
8 convergent cylindrical rectangular lens, said second convergent
9 cylindrical rectangular lens directing said focused light onto said
10 photodetector via said pinhole of said emitted radiation blocking
11 panel.

1 25. An optical detection system according to claim 24 wherein the emitted
2 radiation is transmitted radiation not absorbed by sample.

1 26. An optical detection system according to claim 1, 5, 9, 13, 16 or 24
2 wherein the source radiation blocking panel is made of a radiation
3 absorbing material and further comprises a plurality of pinholes which
4 are disposed above each of said samples.

1 27. An optical detection system according to claim 5, 9, 13, 16 or 24
2 wherein said source radiation blocking panel is made of radiation

3 absorbing material; and scanning means, connected to said source
4 radiation blocking panel, are provided for shifting the source radiation
5 blocking panel at predetermined distances and predetermined time
6 intervals, said predetermined distance being the distance or a multiple
7 of the distance between the different samples arranged in an array;
8 and said predetermined time interval being the time used to collect
9 emitted radiation from each sample via said pinhole.

1 28. An optical detection system according to claim 1, 5, 9, 13, 16 or 24
2 wherein the source radiation focusing and collimating means is a
3 convergent cylindrical rectangular lens.

1 29. An optical detection system according to claim 1, 5, 9, 13 or 16
2 wherein the emitted radiation focusing means is a convergent
3 cylindrical rectangular lens.

1 30. An optical detection system according to claim 1, 9, 13, 16 or 24
2 wherein a plurality of pinholes are disposed on the excitation blocking
3 panel at predetermined distances, said predetermined distance being
4 the distance or a multiple of the distance between the samples
5 arranged in an array.

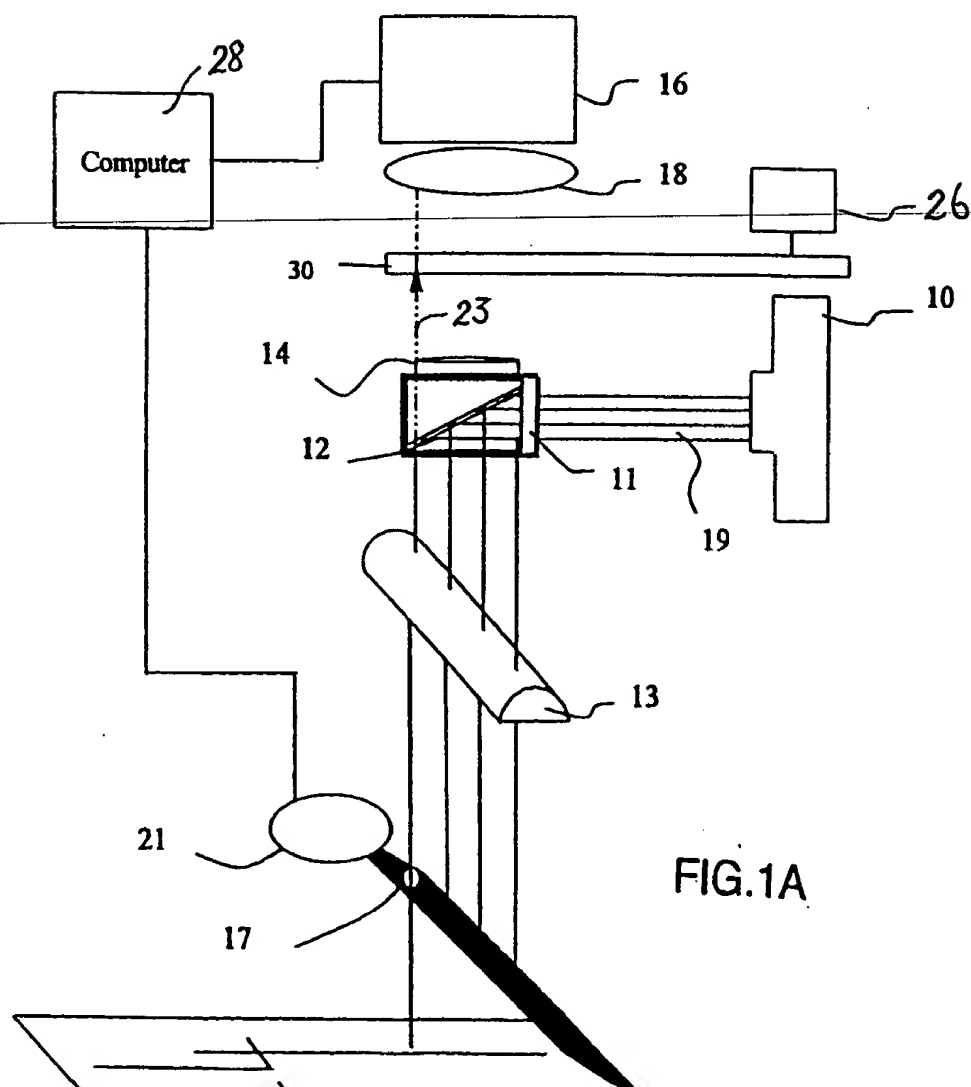
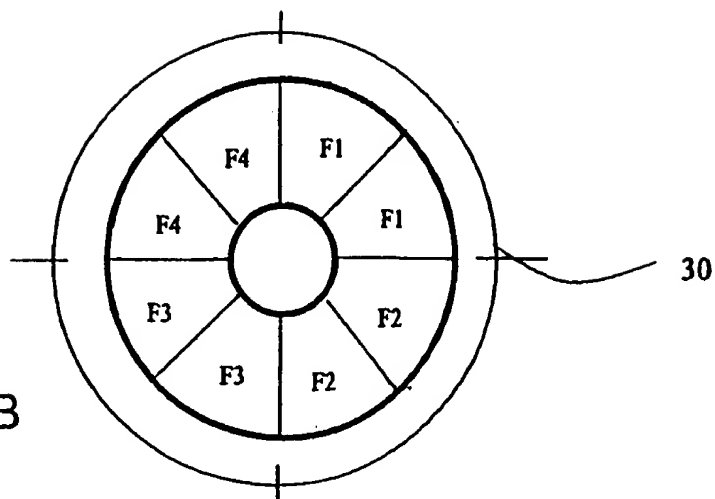
1 31. An optical detection system according to claim 1, 5, 9, 13 or 16
2 wherein the source radiation is excitation light and the emitted
3 radiation is fluorescence light.

1 32. An optical detection system according to any one of claims 1, 5, 9, 13,
2 16 or 24, wherein the photodetector is connected to an amplifier.

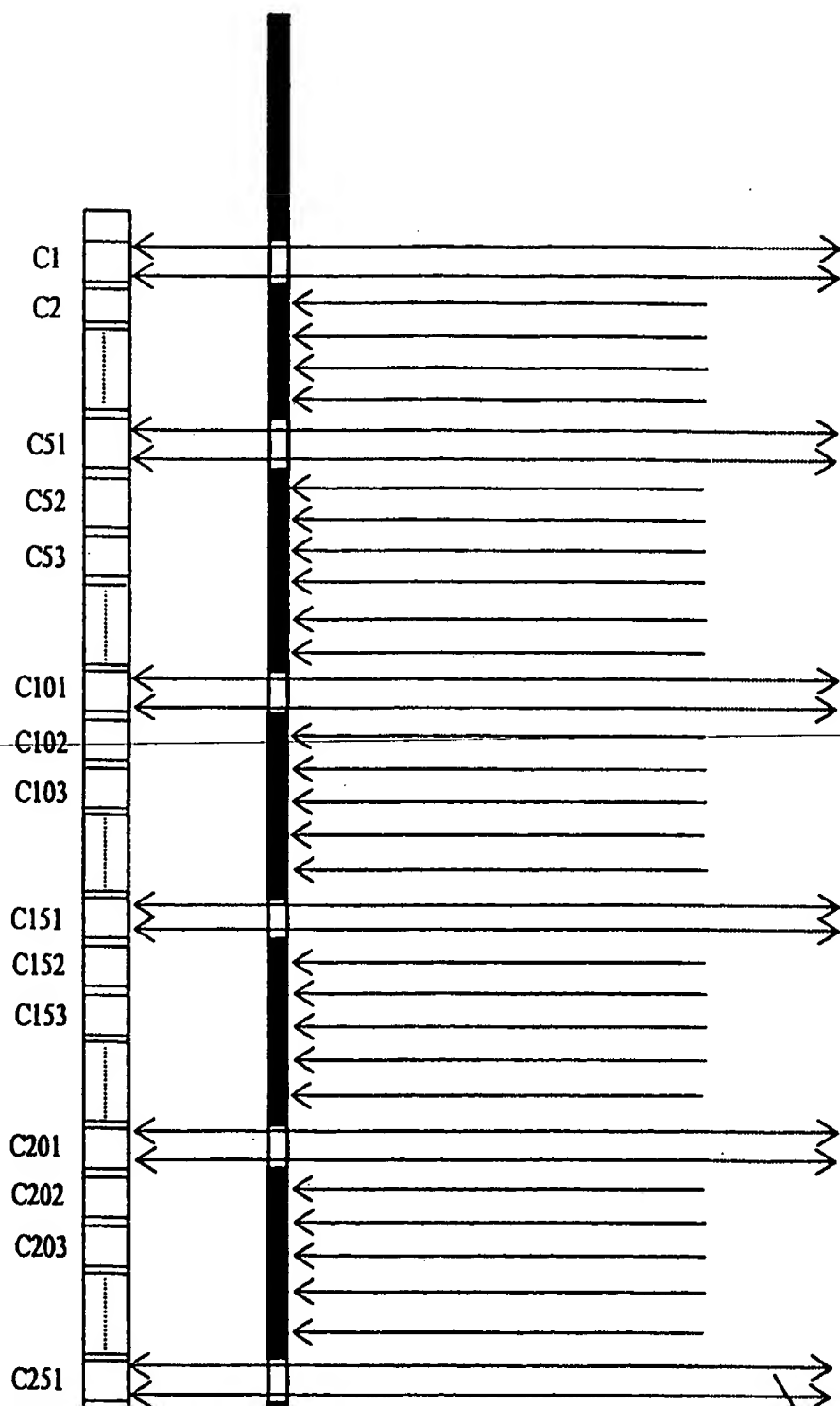
- 1 33. An optical detection system according to any one of claims 1, 5, 9, 13,
2 16 or 24 wherein the photodetector is connected to a computer or a
3 data processor.
- 1 34. An optical detection system according to claim 1 wherein the radiation
2 source comprises a laser lamp, mercury lamp, xenon lamp or
3 deuterium lamp.
- 1 35. An optical detection system according to claim 1 wherein the
2 photodetector comprises at least one photodiode, a photodiode array,
3 a photomultiplier tube or a charge couple device.
- 1 36. An optical detection system according to claim 1 wherein the pinholes
2 are circular, and the diameter of the pinhole range between 1 to
3 1,000 μ m.
- 1 37. An optical detection system according to claim 1 wherein the pinholes
2 are rectangular in shape with the sides of the rectangle within 1 to
3 1,000 μ m.
- 1 38. An optical detection system according to claim 1 wherein the emitted
2 radiation focusing means is a convex lens.
- 1 39. An optical detection system according to claim 3 wherein the plurality
2 of channels or columns are longitudinally aligned.
- 1 40. An optical detection system according to claim 39 wherein the plurality
2 of channels or columns are longitudinally aligned in parallel along
3 one plane.

1/6

FIG.1B



2/6



3/6

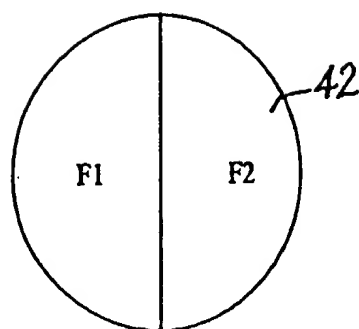
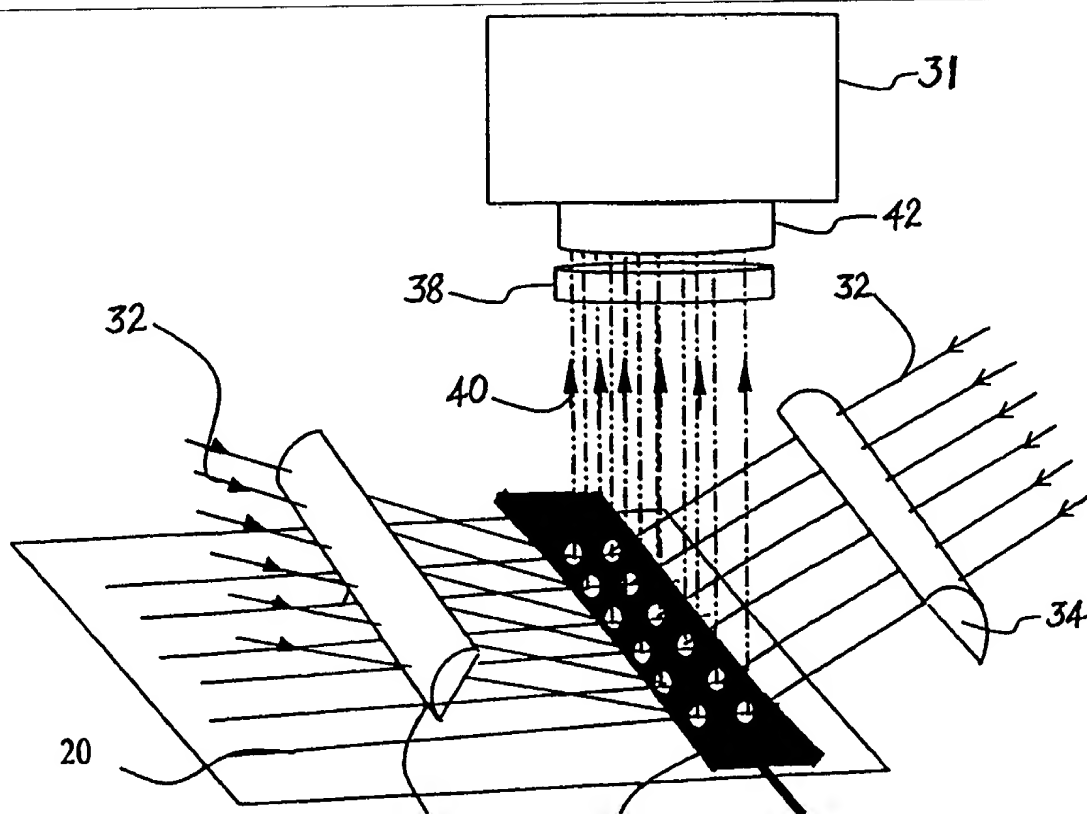
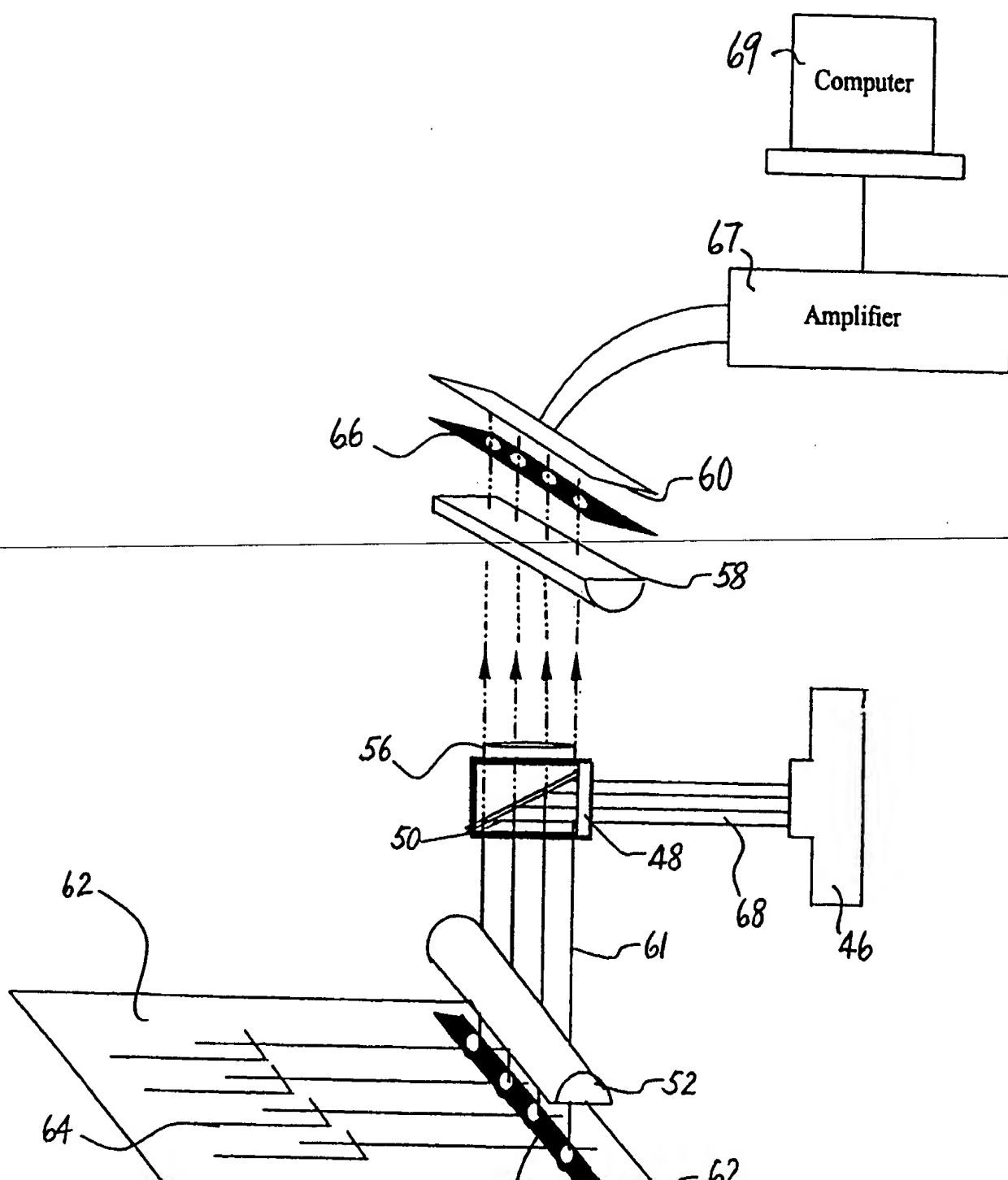


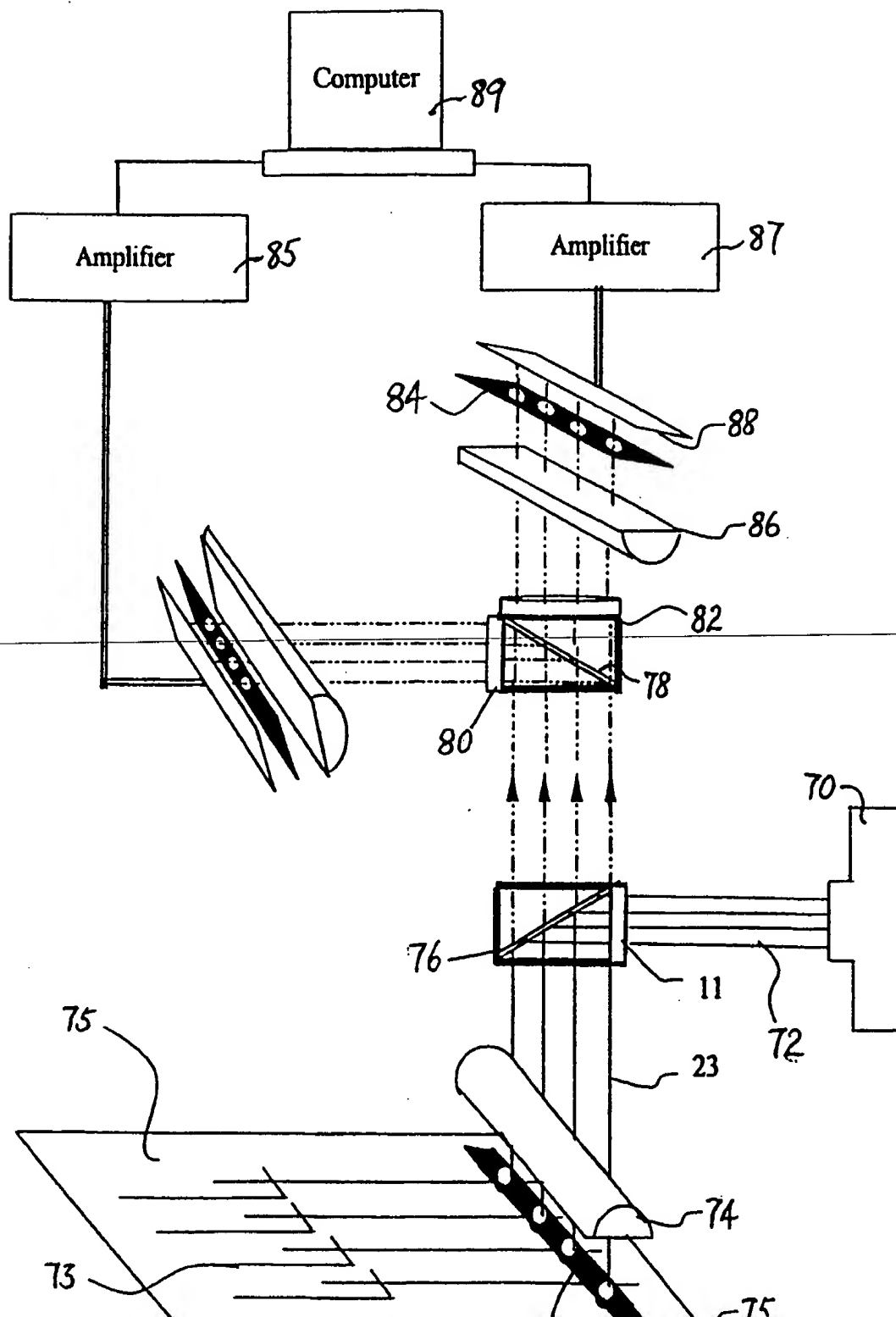
FIG. 3B



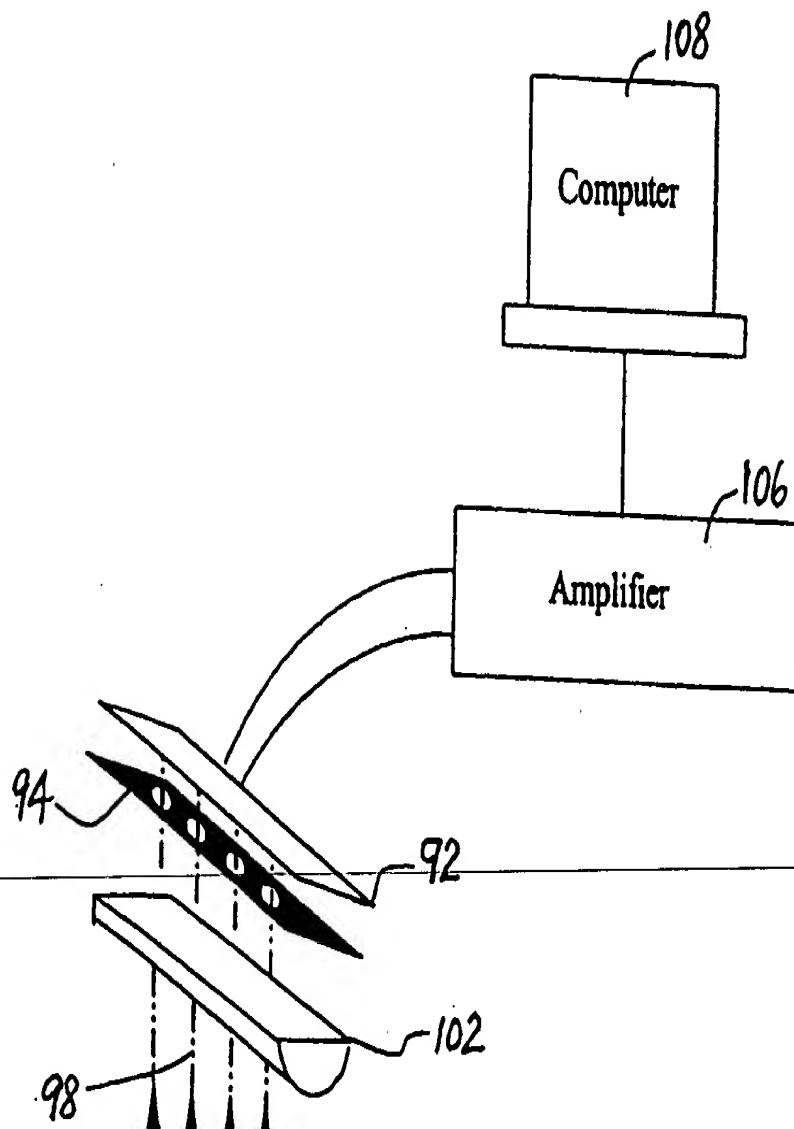
4/6



5/6



6/6



INTERNATIONAL SEARCH REPORT

International application No.
PCT/SG 99/00081

A. CLASSIFICATION OF SUBJECT MATTER

IPC⁷: G 01 N 21/64, 27/26

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC⁷: G 01 N 21/64, 27/26

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

WPI

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	DE 4313367 A1 (HITACHI) 28 October 1993 (28.10.93) abstract; column 32, lines 20-30; column 15, lines 29 - column 16, line 37; claims; fig. 1-14.	1,6,22,23,25,31,32,33,34,35,38,40
A	US 5741412 A (N. J. DOVICH) 21 April 1998 (21.04.98) abstract; column 1, lines 16-45; column 4, line 66 - column 5, line 10; columns, lines 27-35; column 11, lines 39-55; claims; fig. 1, 2, 3, 12, 14.	1,2,3,23,25,31-35,38,40
A	US 5730850 A (HIDEKI KAMZARA) 24 March 1998 (24.03.98) abstract; columns, line 19 - column 6, line 48, claims; fig. 2, 12, 15.	1,2,3,25,31,34,38,39,40
A	US 5675155 A (S. L. PENTONEY) 07 October 1997 (07.10.97) abstract; column 1, lines 15-33; column 4, lines 25-48; column 7, line 23 - column 8, line 13; claims; fig. 1-4.	1,2,3,8,23,25,31,33-35,39,40

☒ Further documents are listed in the continuation of Box C.☒ See patent family annex.

* Special categories of cited documents:

„A“ document defining the general state of the art which is not considered to be of particular relevance

„E“ earlier application or patent but published on or after the international filing date

„L“ document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

„O“ document referring to an oral disclosure, use, exhibition or other means

„P“ document published prior to the international filing date but later than the priority date claimed

„T“ later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

„X“ document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

„Y“ document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

„&“ document member of the same patent family

Date of the actual completion of the international search

17 November 1999 (17.11.99)

Date of mailing of the international search report

13 December 1999 (13.12.99)

Name and mailing address of the ISA/AT

Austrian Patent Office

Kohlmarkt 8-10; A-1014 Vienna

Authorized officer

Erber

Facsimile No. 1/53424/200

Telephone No. 1/53424/382

PCT/SG 99/00081

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5498324 A (E. S. YENNG) 12 March 1996 (12.03.96) abstract; column 6, line 51 - column 7, line 33; column 9, lines 11-32; claims; fig. 1-3.	1,2,3,31,34,39,40
A	US 50916521 (R. A. MATHIES) 25 February 1992 (25.02.92) totality.	1,2,3,5,6,23,25,31,33,34,35,39,40
A	US 5582705 A (E. S. YEUNG) 10 December 1996 (10.12.96) abstract; column 11, line 13 - column 12, line 58; claims; fig. 1.	1,31,34,39,40
A	US 5274240 A (R. A. MATHIES) 29 December 1993 (29.12.93) abstract; claims; fig. 1-3.	1,3,23,25,31-35,39,40
A	EP 0619493 A1 (HITACHI) 12 October 1994 (12.10.94) abstract; claims; fig. 1A. ---	1,3,23,25,31,33,39,40

INTERNATIO SEARCH REPORT

Information on patent family members

International application No.

PCT/SG 99/00081

In Recherchenbericht angeführtes Patentedokument Patent document cited in search report Document de brevet cité dans le rapport de recherche		Datum der Veröffentlichung Publication date Date de publication	Mitglied(er) der Patentfamilie Patent family member(s) Membre(s) de la famille de brevets	Datum der Veröffentlichung Publication date Date de publication
DE A1	4313367	28-10-1993	DE C2 4313367 JP A2 6138037 US A 5516409 US A 5529679 US A 5667656 US A 5759374 US A 5954932 US A 5964998 JP B2 2776208 JP A2 5296978 US A 5268080 US A 5314602 US A 5730850 CN A 1109597 DE A1 19500638 JP A2 7209251 JP A2 4271800 CN A 1146017 EP A2 723149 EP A3 723149 JP A2 8193976	14-08-1997 20-05-1994 14-05-1996 25-06-1996 16-09-1997 02-06-1998 21-09-1999 12-10-1999 16-07-1998 12-11-1993 07-12-1993 24-05-1994 24-03-1998 04-10-1995 20-07-1995 11-08-1995 28-09-1992 26-03-1997 24-07-1996 06-05-1998 30-07-1996
US A	5741412	21-04-1998	AT E 157452 AU A1 69223794 AU B2 695154 CA AA 2164207 DE C0 69405233 DE T2 69405233 DK T3 701694 EP A1 701694 EP B1 701694 ES T3 2106545 NZ A 267045 US A 5439578 WO A1 9429712 US A 5584982	15-09-1997 03-01-1995 06-08-1998 22-12-1994 02-10-1997 19-03-1998 27-10-1997 20-03-1996 27-08-1997 01-11-1997 24-10-1997 08-08-1995 22-12-1994 17-12-1996
US A	5730850	24-03-1998	US A 5516409 US A 5529679 US A 5667656 US A 5759374 US A 5954932 US A 5964998 CN A 1146017 EP A2 723149 EP A3 723149 JP A2 8193976 US A 5268080 US A 5314602 CN A 1109597 DE A1 19500638 JP A2 7209251 DE A1 4313367 DE C2 4313367 JP A2 6138037 JP B2 2776208 JP A2 5296978 JP A2 4271800	14-05-1996 25-06-1996 16-09-1997 02-06-1998 21-09-1999 12-10-1999 26-03-1997 24-07-1996 06-05-1998 30-07-1996 07-12-1993 24-05-1994 04-10-1995 20-07-1995 11-08-1995 28-10-1993 14-08-1997 20-05-1994 16-07-1998 12-11-1993 28-09-1992
US A	5675155	07-10-1997	EP A1 823052 JP T2 11505015 WO A1 9634278	11-02-1998 11-05-1999 31-10-1996
US A	5498324	12-03-1996	US A 5324401 WO A1 9418532	28-06-1994 18-08-1994
US A	5091652	25-02-1992	DE C0 69120980 DE T2 69120980	29-08-1996 27-02-1997

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

PCT/SG 99/00081

US A	5274240	28-12-1993	DE C0	69120980	29-08-1996
			DE T2	69120980	27-02-1997
			EP A2	440342	07-08-1991
			EP A3	440342	27-11-1991
			EP B1	440342	24-07-1996
			JP A2	6341955	13-12-1994
			US A	5091652	25-02-1992
EP A1	619493	12-10-1994	CA AA	2113785	30-07-1994
			JP A2	6308135	04-11-1994
			US A	5445037	29-06-1995

INTERNATIONAL COOPERATION TREATY

PCT

NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

Assistant Commissioner for Patents
United States Patent and Trademark
Office
Box PCT
Washington, D.C.20231
ÉTATS-UNIS D'AMÉRIQUE

in its capacity as elected Office

Date of mailing (day/month/year) 10 March 2000 (10.03.00)	
International application No. PCT/SG99/00081	Applicant's or agent's file reference 1161.P001PCT
International filing date (day/month/year) 16 July 1999 (16.07.99)	Priority date (day/month/year) 28 July 1998 (28.07.98)
Applicant LI, Sam, Fong, Yau	

1. The designated Office is hereby notified of its election made:

☒ in the demand filed with the International Preliminary Examining Authority on:

23 February 2000 (23.02.00)

☐ in a notice effecting later election filed with the International Bureau on:
2. The election ☒ was
☐ was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer Pascal Piriou
Facsimile No.: (41-22) 740.14.35	Telephone No.: (41-22) 338.83.38